

Senior Managers and Certification Regime (SMCR) Working Group Meeting

Date and Time	Thursday 25 th July 2019
Venue	At the offices of Eversheds Sutherland, 1 Wood Street, London, EC2V 7WS
Attendees	Attendees by phone
Simon Collins (Chairperson)	John Roberts – Threesixty Services
Kumar Ved – Compliancy Services	Helen Tibbs – Clear Financial Consultants
Julian Sampson – Fulcrum Compliance	Ciara Cremin – Clear Financial Consultants
	Sandy McGregor – SimplyBiz
	Richard Farr – Telos Solutions
	Kajal Popat – Crowe
	Mazhar Manzoor – ESMA Compliance
	Winnie Chu – MPAC
	Charles Cattell – The Cattelyst Consultancy
	Giles Wood – BDO
	Vivek Dodd – Skillcast

1. Apologies

Mark Greenwood – SimplyBiz
 Liz Coyle - SimplyBiz
 Osita Egubine – Compliancy Services
 Lorraine Bay – BDO
 Lucy Gallagher – BDO
 Nicki Houghton – Haven Risk Management

2. Matters arising from minutes of meeting 5th June

The previous meeting minutes were reviewed and approved.

The FCA did previously state that they were due to issue more information regarding partnerships, we have not seen anything sent as yet.

The FCA also stated that they would be issuing letters notifying each firm what they are classified as. The group advised that none of their clients have received anything as yet.

3. SMCR update – questions for the FCA

Simon explained that he has been in contact with Peter Ewing from the FCA. Following on from Peter’s attendance at a previous working group meeting, Simon has invited him to attend a further meeting. This meeting will take place at the end of August or start of September all being well.

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Simon advised that a survey has been set up for members to submit any questions regarding SM&CR that they may have for the FCA. He asked the working group to ensure they submit questions for discussion at the meeting with Peter.

Simon has asked for people to send their questions in by COB Thursday 8th August.

Click [here](#) to submit your questions for the FCA.

Next meeting: Simon will be in contact when a date for the meeting with Peter has been arranged.